

UCC & Associates LLP

Chartered Accountants

Phone : +91-11-66624518/19

E-mail : info@uccglobal.in

Website: www.uccglobal.in

Date: 30.10.2024

To,
The Chairman
Audit Committee
ROYAL AUDIT AUTHORITY
P.O. Box: 191, Kawangjangsa
Thimphu, Bhutan.

Subject: Submission of EOI / Application for Empanelment for the **Period – July 2025 to June 2028**

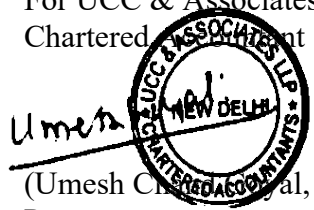
Dear Sir/Madam,

With reference to your tender advertisement regarding the submission of EOI / Application for Outsourcing of Audit Services for the period – **July 2025 to June 2028**; we hereby submitting our duly filled Application (Information Fact Sheet) as per the format along with supporting documents and brief profile of our firm for your kind consideration please.

Thanking you.

Regards,

For UCC & Associates LLP
Chartered Accountant


(Umesh Chandra, FCA)
Partner

Encls.: 1. Information Fact Sheet (duly filled along with supporting documents).

2. Brief profile of our firm.

Regd. Off.: 1315, Ansal Tower, 38, Nehru Place, New Delhi - 110 019

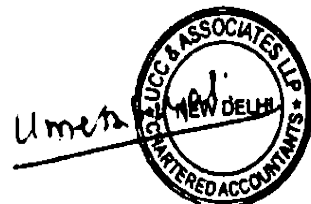
C. O.: B-1/02, Palm Grove Villa, Ardee City, Gate No. 1, Sec-52, Gurgaon-122011 Ph. : +91-124-4081898

B. O.: # 19/10, Veneyak Lane, Main Bazar, Opp Mahajan Sabha, Akhnoor, Jammu Tawi, 181201 (J &K)

Schedule I: Information Fact Sheet

(For new empanelment)

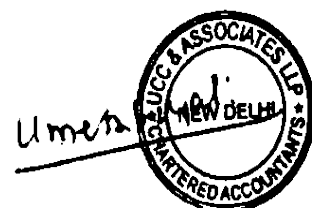
Name of the Firm:		UCC & ASSOCIATES LLP	
Address:		1315, Ansal Tower-38, Nehru Place New Delhi-110019	
Year of Establishment:		1991	
Telephone No: 9599562240		Email Address: info@uccglobal.in	
Fax No: N/A		Contact person (with mobile No. only if you wish): Umesh Chand Goyal, FCA Mob: 9599562240	
Empanelment Others: TPN: Firm Profile: (enclosed as per the schedule II) B/Sheets (2022 and 2023): enclosed		Consultancy Works (Please tick) Management: <input checked="" type="checkbox"/> Tax: <input checked="" type="checkbox"/> Acts: <input checked="" type="checkbox"/> IT: <input checked="" type="checkbox"/> Accounting Manuals: <input checked="" type="checkbox"/> Other Manuals: <input checked="" type="checkbox"/> Project Appraisals: <input checked="" type="checkbox"/> Others: (Specify) Knowledge & Expertise: (Please tick) • International Standards on Auditing (ISAs): No • International Financial Reporting Standards: Yes <input checked="" type="checkbox"/>	
General Information			
Staff Strength (Insert numbers)		Size of Firm	Small
Partners:	13	Size of Office	Medium
Chartered:	10	Affiliation with Int. Firms	No
Cost Acct.	0		
Non-Acct.:	44	Competency to conduct (Please tick)	
Others:	45	Financial Audit:	Other information:
Support:	-	Proprietary Audit:	<input checked="" type="checkbox"/>
		Compliance Audit:	<input checked="" type="checkbox"/>
		Fraud Investigation:	<input checked="" type="checkbox"/>
		Evaluation of Internal Controls:	<input checked="" type="checkbox"/>
Other Information	Brief Profile of our firm is enclosed	<ul style="list-style-type: none"> Litigation against Firm Peer Review Special achievements/recognition International affiliation 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> -



To be completed by RAA only

General Impressions (meetings) Good	Poor/Good/Very
Descriptive Remarks by member of the Audit Committee of the RAA: Name of the Member:	
Recommended/Not recommended for empanelment	
Remarks by Chairman (Audit Committee)	
Remarks by Hon'ble Auditor General of Bhutan	

Note: Attach separate sheet(s) if necessary.





The Institute of Chartered Accountants of India

(Setup by an Act of Parliament)

THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA

(Decentralised Office)

ICAI BHAWAN,PLOT NO. 52,53& 54, VISHWAS NAGAR,SHAHNAGAR,DELHI-110032

Tel.: 011-30210652/654 FAX.:011-30210680

E-Mail:nro@icai.in Website:http://www.icai.org

TO WHOMSOEVER IT MAY CONCERN

This is to certify that the undermentioned firm of chartered Accountants is registered under the Chartered Accountants Act,1949 and the Chartered Accountants Regulations 1988 made thereunder. The name/s of the Proprietor/Partner therein is/are given below:

Regn. No.(F.R.N)	010585N/N500017
Name of the Firm	M/s. U C C & ASSOCIATES LLP
H.O. Address	1315 ANSAL TOWER 38 NEHRU PLACE NEW DELHI 110019
Year of Establishment	13/07/1991
Date from which it is continuing as a Partnership Firm	13/07/1991
Constitution of the Firm as on	11/10/2024
Firm GSTIN (Head Office)	07AAAFU1545L1Z3

Address(es) of Branch Offices

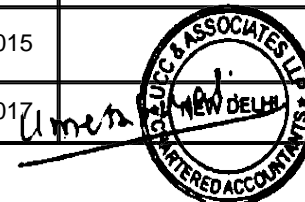
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2. B-1/02, PALM GROVE VILLA,R D CITY, GATE NO. 1,SECTOR-52,GURUGRAM,122011
3. 1201, TOWER A-1,ANSAL CORPORATE PARK,PLOT NO. 7-A,SECTOR-142,NOIDA,201301



4. 14/14 PARI RESIDENCY,GURU ROAD,LAXMAN CHOWK,DEHRADUN,248001
5. OFFICE NO 48,MANALI TOWER COMPLEX,PLOT NO 110,SECTOR-8 GANDHIDHAM,GANDHIDHAM,370201
6. B-606,WESTERN EDGE-II,NEAR METRO MALL, W E HIGHWAY,BORIVALI (EAST),MUMBAI,MUMBAI,400066
7. 19/10 VENEYAK LANE, MAIN BAZAR,OPP MAHAJAN SABHA,AKHNOOR,JAMMU,JAMMU TAWI,181201

Particulars of Partners/Proprietors:

No.	Member Name	Type	Membership Number	Admission Date as Partner/Proprietor	*Deemed Date	FCA Date	ACA Date	Individual Practice/Association with other firm/Occupation	Paid Assistant in the same Firm From To	
1	SHARMA DEVENDRA MOHAN	FCA	084838	15/11/2023	14/05/2021	05/04/1991	14/01/1986			
2	SUNITA UMESH	FCA	088316	20/12/2004	10/12/2004	12/08/1994	01/08/1989			
3	GOYAL UMESH CHAND	FCA	088328	13/07/1991	04/08/1989	12/08/1994	04/08/1989			
4	KALIRAMAN VIKRAM	FCA	096662	01/04/1999	18/01/1999	12/04/2006	18/01/1999			
5	HARDIK VISHANJI THACKER	FCA	157659	01/01/2021	09/08/2020	27/10/2018	30/07/2013		01/04/2020	31/12/2020
6	RAM AVTAR SHARMA	FCA	405802	01/01/2009	05/06/2006	05/06/2011	18/01/2006			
7	PULKIT JAIN	ACA	436192	01/04/2022	02/08/2019		26/07/2017			
8	SEEMA SANDEEP	FCA	509566	01/01/2010	25/04/2007	01/05/2012	25/04/2007		01/01/2009	31/12/2009
9	SHRIPATI SHARMA	FCA	517394	01/03/2024	02/05/2021	10/05/2021	26/11/2010	Partner of M/s. KUMAR AGARWAL SINGH CHAUDHARY & CO (021734N) ,		
10	ARIHANT JAIN	FCA	525951	01/01/2018	25/09/2017	25/09/2017	27/07/2012		27/07/2012	31/12/2017
11	JITENDER SHARMA	FCA	537319	02/04/2021	28/07/2015	12/07/2021	14/05/2015		25/05/2016	01/04/2021
12	JYOTI	FCA	544681	02/04/2021	27/01/2021	16/06/2022	23/03/2017		24/03/2017	01/04/2021



	AGGARWAL								
13	UJJWAL MAHAJAN	ACA	574142	01/03/2024	24/08/2023		24/08/2023	Partner of M/s. MAHAJAN GUPTA AND CO (041413N) ,	

Deemed date of joining in the Firm

Particulars Of Paid Assistants

No.	Member Name	Type	Membership Number	Admission Date as Paid Asst.	FCA Date	ACA Date	FT/PT	Individual Practice/Association with other firm/Occupation	Partner in the same Firm From To	
1	NEHA JUJAL	ACA	536627	30/04/2018		09/03/2015	FT			
2	MANISH KUMAR	ACA	553819	03/05/2019		03/05/2019	FT			
3	MANSI BANSAL	ACA	531879	27/05/2019		23/07/2013	FT			
4	AANCHAL JAIN	ACA	549666	14/03/2019		01/06/2018	FT			
5	BHUPESH AGGARWAL	ACA	562192	01/04/2021		20/03/2021	FT			
6	MADHURA MUKESH DALVI	ACA	134485	02/08/2021		03/12/2009	FT			
7	DISHA ISHWAR THACKER	ACA	604284	01/09/2021		01/04/2021	FT			
8	SEEMA SHARMA	ACA	566598	31/03/2022		31/03/2022	FT			
9	SANTOSH KUMAR GUPTA	ACA	469357	01/06/2023		26/04/2023	FT			
10	SHUBHAM SHARMA	ACA	576845	01/10/2024		20/07/2024	FT			

(Dinesh Kumar Mishra)
Deputy Secretary



* Deemed date of commencement of Firm



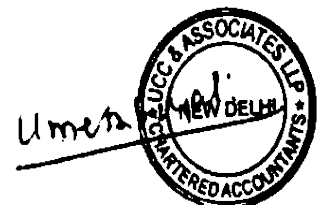
Government of India
Form GST REG-06
[See Rule 10(1)]

Registration Certificate

Registration Number : 07AAAFU1545L1Z3

1.	Legal Name	UCC & ASSOCIATES LLP			
2.	Trade Name, if any	UCC & ASSOCIATES LLP			
3.	Constitution of Business	Limited Liability Partnership			
4.	Address of Principal Place of Business	13, 1315, Ansal Tower 38, 38, Nehru Place, New Delhi, Delhi, 110019			
5.	Date of Liability	01/07/2017			
6.	Period of Validity	From	01/07/2017	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				
Signature					
Name					
Designation					
Jurisdictional Office					
9. Date of issue of Certificate		17/07/2018			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of application on 01/07/2017 .





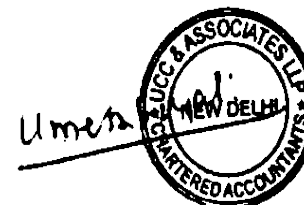
GSTIN	07AAAFU1545L1Z3
Legal Name	UCC & ASSOCIATES LLP
Trade Name, if any	UCC & ASSOCIATES LLP

Details of Additional Places of Business

Total Number of Additional Places of Business in the State	0
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Disclaimer : "This is a system generated Card / Certificate. Please inform us with any discrepancy that you may notice before you submit it for any official use."

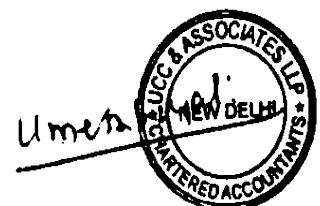


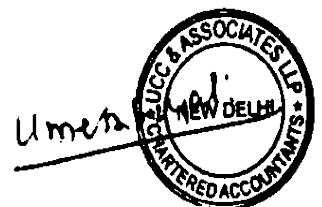


GSTIN	07AAAFU1545L1Z3
Legal Name	UCC & ASSOCIATES LLP
Trade Name, if any	UCC & ASSOCIATES LLP

Details of Designated Partners

1		Name	Umesh Chand Goyal
		Designation/Status	Partner
		Resident of State	Delhi
2		Name	Sunita Umesh
		Designation/Status	Partner
		Resident of State	Delhi







भारत सरकार
Government of India
सूक्ष्म, लघु एवं मध्यम उद्यम मंत्रालय
Ministry of Micro, Small and Medium Enterprises



UDYAM REGISTRATION CERTIFICATE

UDYAM REGISTRATION NUMBER

UDYAM-DL-08-0004048

NAME OF ENTERPRISE

M/S UCC & ASSOCIATES LLP

TYPE OF ENTERPRISE *

SNo.	Classification Year	Enterprise Type	Classification Date
1	2024-25	Small	27/04/2024
2	2023-24	Small	09/05/2023
3	2022-23	Micro	26/06/2022
4	2021-22	Small	16/05/2021

MAJOR ACTIVITY

SERVICES

SOCIAL CATEGORY OF
ENTREPRENEUR

GENERAL

NAME OF UNIT(S)

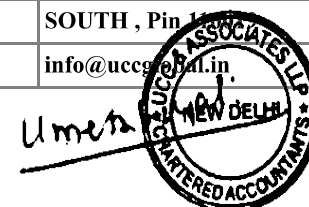
S.No.	Udyog Aadhaar Memorandum	Unit(s) Name
1	DL08E0001066	UCC & Associates LLP

OFFICAL ADDRESS OF ENTERPRISE

Flat/Door/Block No.	1315	Name of Premises/ Building	Ansal Tower
Village/Town	Nehru Place	Block	38
Road/Street/Lane	Nehru Place	City	New Delhi
State	DELHI	District	SOUTH , Pin 110028
Mobile	9910044684	Email:	info@uccg.com.in

DATE OF INCORPORATION /

13/07/1991



REGISTRATION OF ENTERPRISE

DATE OF COMMENCEMENT OF PRODUCTION/BUSINESS

13/07/1991

NATIONAL INDUSTRY CLASSIFICATION CODE(S)

SNo.	NIC 2 Digit	NIC 4 Digit	NIC 5 Digit	Activity
1	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69201 - Accounting, bookkeeping and auditing activities	Services
2	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69202 - Tax consultancy	Services

DATE OF UDYAM REGISTRATION

09/10/2020

* In case of graduation (upward/reverse) of status of an enterprise, the benefit of the Government Schemes will be availed as per the provisions of Notification No. S.O. 2119(E) dated 26.06.2020 issued by the M/o MSME.

Disclaimer: This is computer generated statement, no signature required. Printed from <https://udyamregistration.gov.in> & Date of printing:- 01/05/2024

For any assistance, you may contact:

1. District Industries Centre: DELHI (DELHI)

2. MSME-DFO: DELHI (DELHI)

Visit : www.msme.gov.in ; www.dcmsme.gov.in ; www.champions.gov.in



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Government of India
सूक्ष्म, लघु एवं मध्यम उद्यम मंत्रालय
Ministry of Micro, Small and Medium Enterprises



Udyam Registration Number : UDYAM-DL-08-0004048

Type of Enterprise	SMALL	Major Activity	Services
Type of Organisation	Limited Liability Partnership	Name of Enterprise	M/S UCC & ASSOCIATES LLP
Owner Name	M/S UCC & ASSOCIATES LLP	PAN	AAAFU1545L
Do you have GSTIN	Yes	Mobile No.	9910044684
Email Id	info@uccglobal.in	Social Category	General
Gender	Male	Specially Abled(DIVYANG)	No
Date of Incorporation	13/07/1991	Date of Commencement of Production/Business	13/07/1991

Bank Details

Bank Name	IFS Code	Bank Account Number
Central Bank of India	CBIN0281410	3376199017

Employment Details

Male	Female	Other	Total
73	27	0	100

Investment in Plant and Machinery OR Equipment (in Rs.)

S.No.	Financial Year	Enterprise Type	Written Down Value (WDV)	Exclusion of cost of Pollution Control, Research &	Net Investment in Plant and Machinery OR Equipment[(A)-(B)]	Net Turnover [(A)-(B)]	Is ITR Filled?	ITR Type



				Development and Industrial Safety Devices						
1	2022-23	Small	1748960.00	0.00	1748960.00	64950751.00	0.00	64950751.00	Yes	ITR - 3, 5, 6
2	2021-22	Small	1995504.00	0.00	1995504.00	50266315.00	265392.00	50000923.00	Yes	ITR - 3, 5, 6
3	2020-21	Micro	2308493.00	0.00	2308493.00	50060597.00	265392.00	49795205.00	Yes	ITR - 3, 5, 6
4	2019-20	Small	1558718.00	0.00	1558718.00	51007456.00	154670.00	50852786.00	Yes	ITR - 3, 5, 6

Unit(s) Details

SN	Unit Name	Flat	Building	Village/Town	Block	Road	City	Pin	State	District
1	UCC & Associates LLP	Plot No 182	First Floor	Ward 8/A	Subhash Nagar	Gandhidham	Gandhidham	370201	GUJARAT	KACHCHH
2	UCC & Associates LLP	276/1	Khurbura Mohalla	dehradun	Dehradun	Near Play Pen School	Dehradun	248001	UTTARAKHAND	DEHRADUN
3	UCC & Associates LLP	B-1/02	Palm Grove Villa	Gurugram	Ardee City	Sector-52	Gurugram	122011	HARYANA	GURUGRAM
4	UCC & Associates LLP	1315	Ansal Tower	Nehru Place		38	New Delhi	110019	DELHI	SOUTH
5	UCC & Associates LLP	Unit No T-304	3rd Floor	Civil Line Metro Station	Orbit Mall	Ajmer Road	Jaipur	302006	RAJASTHAN	JAIPUR
6	UCC & Associates LLP	1201	Tower A-1	Ansal Corporate Park	Plot No 7A	Sector 142	NOIDA	201301	UTTAR PRADESH	GAUTAM BUDDHA NAGAR

Official address of Enterprise

Flat/Door/Block No.	1315	Name of Premises/ Building	Ansal Tower
Village/Town	Nehru Place	Block	38
Road/Street/Lane	Nehru Place	City	New Delhi
State	DELHI	District	SOUTH, Pin : 110019



Mobile	9910044684	Email:	info@uccglobal.in
Latitude		Longitude:	

National Industry Classification Code(S)

SNo.	Nic 2 Digit	Nic 4 Digit	Nic 5 Digit	Activity
1	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69201 - Accounting, bookkeeping and auditing activities	Services
2	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69202 - Tax consultancy	Services

Are you interested to get registered on Government e-Market (GeM) Portal	Yes
Are you interested to get registered on TReDS Portals(one or more)	Yes
Are you interested to get registered on National Career Service(NCS) Portal	N/A
Are you interested to get registered on NSIC B2B Portal	N/A
Are you interested in availing Free .IN Domain and a business email ID	N/A
District Industries Centre	DELHI (DELHI)
MSME-DFO	DELHI (DELHI)
Date of Udyam Registration	09/10/2020
Date of Printing	01/05/2024

IEC Details	
IEC Number	
IEC Status	Inactive
IEC Registration Date	
IEC Modification Date	





COLORADO

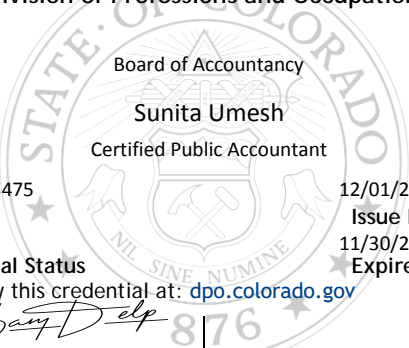
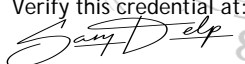
Department of
Regulatory Agencies

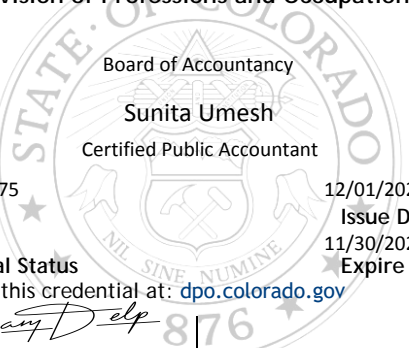
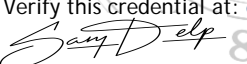
Division of Professions and Occupations

Below are your electronic wallet cards to use as proof of your license. You can also print your license at any time by visiting www.colorado.gov/dora/DPO_Print_License and following the instructions listed.

If you would like a more durable wallet card option, you can order one for a fee by visiting www.nasbastore.org and selecting the "Colorado License Cards" link on the left hand side of the page. If you prefer, you can also contact NASBA by phone at 1-888-925-5237 or by email at nasbastore@nasba.org.

Should you have questions about your credential, or need other information please contact our Customer Service Team at 303-894-7800 or dora_dpo_licensing@state.co.us.

Colorado Department of Regulatory Agencies Division of Professions and Occupations	
	
Board of Accountancy	
Sunita Umesh	
Certified Public Accountant	
CPA.0023475	12/01/2023
Number	Issue Date
Active	11/30/2025
Credential Status	Expire Date
Verify this credential at: dpo.colorado.gov	
	
Division Director: Sam Delp	Credential Holder Signature

Colorado Department of Regulatory Agencies Division of Professions and Occupations	
	
Board of Accountancy	
Sunita Umesh	
Certified Public Accountant	
CPA.0023475	12/01/2023
Number	Issue Date
Active	11/30/2025
Credential Status	Expire Date
Verify this credential at: dpo.colorado.gov	
	
Division Director: Sam Delp	Credential Holder Signature





COLORADO

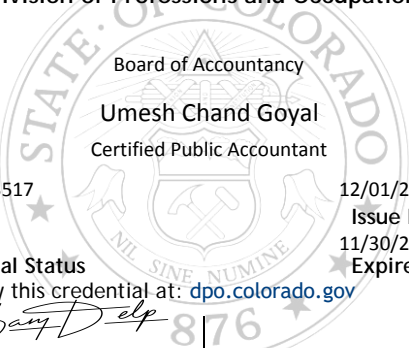
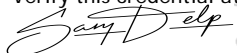
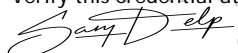
Department of
Regulatory Agencies

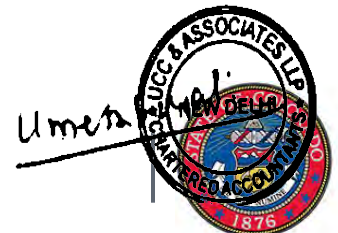
Division of Professions and Occupations

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If you would like a more durable wallet card option, you can order one for a fee by visiting www.nasbastore.org and selecting the "Colorado License Cards" link on the left hand side of the page. If you prefer, you can also contact NASBA by phone at 1-888-925-5237 or by email at nasbastore@nasba.org.

Should you have questions about your credential, or need other information please contact our Customer Service Team at 303-894-7800 or dora_dpo_licensing@state.co.us.

Colorado Department of Regulatory Agencies Division of Professions and Occupations	
	
Board of Accountancy	
Umesh Chand Goyal	
Certified Public Accountant	
CPA.0023517 Number	12/01/2023 Issue Date
Active Credential Status	11/30/2025 Expire Date
Verify this credential at: dpo.colorado.gov	
	
Division Director: Sam Delp	Credential Holder Signature



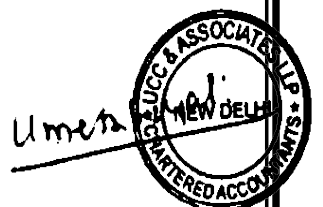


UCC & Associates LLP
Chartered Accountants



Complement your business team with our experience that can bring you solutions

Knowledge + Experience + Commitment+ Service + Quality = Satisfaction

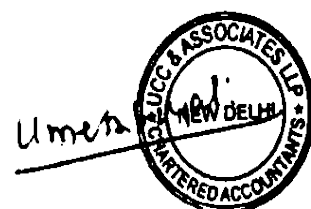


PRIVATE AND CONFIDENTIAL

PROFILE OF THE FIRM

1. Firm Overview

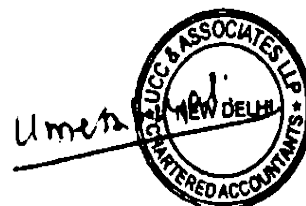
Introduction /Founding and Establishment	
Introduction	<p>Our firm has a standing of more than 30 years in the field of Auditing, taxation, accounting, payroll, financing, company law, setting up of overseas companies in India.</p> <p>UCC has firm experience in the areas of IFRS/INDAS Implementation, Audits, Taxations, Appeals, Business consulting, Expatriate, Virtual CFO services, Payroll processing, Foreign Nationals, Non-Resident Indian services and back office processing.</p> <p>Our firm prides itself in providing professional, objective, and impartial advice that at all times ensures that the client's interests are protected. Our Partners, Managers and staff observe the highest standards of ethics, they deliver uncompromising quality, deliver thorough results and recommend sound solutions to ensure better control over project operations and strengthen internal controls.</p>



Date of Establishment:-	July 13, 1991
Firm E-mail	info@uccglobal.in
Web Site	www.uccglobal.in
Branches	Gurugram, Noida, Jaipur, Dehradun, Gandhidham, Jammu and Mumbai
Partners	13
Staff Strength – all offices (including partners)	Approx. 125
ICAI Registration No.	010585N/N500017
CAG Empanelment No.	NR0869
RBI Registration No.	0103456
Permanent Account No.	AAAFU1545L
GST Registration No. (HO)	07AAAFU1545L1Z3
UDYAM Registration	UDYAM-DL-08-0004048
Quality Review by ICAI	2024-2027
International Affiliation	Member Firm of Prime Global (International Association of Independent Accounting Firm)
National Affiliation	We have our associates in Kolkata, Chennai, Bangalore, Pune, Hyderabad and other major cities in India and abroad
Other Empanelment	<ol style="list-style-type: none"> 1. National Investigation Agency 2. National Skill Development Authority 3. National Highway Authority of India 4. Employee Provident Fund Organization 5. RailTel Corporation of India Ltd. 6. Central Coalfields Limited 7. Royal Audit Authority – Bhutan 8. Indian Bankers Association 9. Canara Bank - Stock Audit 10. Bank of Baroda-Stock Audit 11. PFC Consulting Ltd. 12. Indian Port Association 13. Department of Trades and Taxes (Spl Audit)



Membership in Professional Bodies (Of Firm/Partners)	<ol style="list-style-type: none"> 1. Confederation of Indian Industries 2. PHD chamber of Commerce and Industry 3. Bombay Chartered Accountant Society 4. Certified Public Accountancy Board, Colorado. 5. Independent Director Databank 6. Insolvency and Bankruptcy Board of India 7. IDBI Bank
2. Services Offered:	
Services Rendered	<ul style="list-style-type: none"> • Auditing and Assurance services • Business Process Outsourcing • Corporate Laws Consultancy • Direct and Indirect Taxation • Payroll Services • IFRS/ Ind AS Implementation
Specialised services	<ul style="list-style-type: none"> • Complex Knowledge processing services • International and Expatriate Taxation, • Transfer Pricing, • Trainings • SOX and Internal Financial Control • Complex payroll solutions • Due Diligence • Special purpose engagements • Guinness books of records event audit • World bank Aided projects • Preparation of various Manuals, • Corporate Insolvency Resolution cases, • ERP implementation • Quality Review • Loan Restructuring • Complex Regulatory /FEMA compliances • Representation on Company's Board of Directorship • Initial Public Offerings
Detailed Description of Services	



1. AUDITING AND ASSURANCE SERVICES

- **Statutory Audit –**

- Of Indian Companies in compliance with the Indian Corporate laws.
- Of Indian Banks under Banking Regulation Act, 1949.
- As appointed by Comptroller and Audit General for Public Sector Undertakings.



- **Internal Audit:**

- Of various Private limited companies and PSUs
- Reports on Effectiveness of Internal Financial Controls over Financial Reporting (ICFR)
- Physical Verification of Stock

- **Compliance Audits**

- **Auditing under IFRS/US GAAP and Group reporting –**

- For multinational clients and where required by Local Laws.

- **SOX Audit –**

- Quarterly compliance reviews of Key Controls of various business processes under the Sarbanes Oxley Act with updation of narratives.

- **Management Assurance Audit –**

- In accordance with scope agreed for Companies/other entities.

- **Limited Review Audit –**

- As required under local regulation/agreed upon by client.

- **Audit under Foreign Contribution Regulation Act –**

- For donations received in foreign currency.

- **Audit under Income Tax Act –**

- Audits of persons engaged in Business/Profession, Co-operative societies, Charitable or religious trusts.
- Tax Audits
- Transfer Pricing Audit

- **Other Audits –**

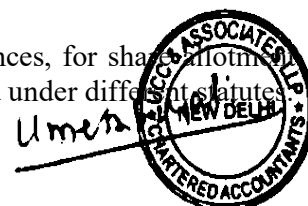
- Due Diligence
- Forensic Audit
- Revenue audits, concurrent audits, System Audits for Banks etc.

- **Special purpose engagement –**

- **Transfer Pricing Study** – For related party transactions in foreign currency.

- **Certification –**

- Certifying Exports / Foreign exchange earnings and remittances, for shareholding net-worth, withholding taxes and other certificates as required under different statutes.



2. Payroll Services -

- **On- Site/ off-site processing of clients' payroll and benefits which includes:**

- Monthly salary processing, Reimbursement processing, variable performance payouts, Control and variance report, Bank advice, Tax computation, issuing payslip and Customized monthly reports and salary vouchers in accordance with client requirements.
- Complete end to end tax activities including verification of proof against investment declaration,
- Issuing Form 16 and submission of Quarterly e-TDS returns.
- Multiple modes of Query Management like Email, phone & on –site Help Desk as per clients' requirement.
- Full and Final settlements as per company policy and release payment accordingly with all statutory compliance.
- Advising on Provident Fund (PF), Professional Tax (PT), Employee State Insurance (ESI) for timely deposit of amount payable on a monthly basis and preparation of periodic Returns
- To maintain strict confidentiality relating to payroll data.
- Preparation of complete data relating to retiral and leave encashment for actuarial valuation.
- Preparing of complete data to be included in Director's Report.
- Coordinating and imparting of information to Auditors for confidential payroll audit.
- Handling of Expats payroll including their withholding taxes and reconciliation with invoices and subsequent remittance of expats recharges.



3. IFRS / Ind AS Implementation and Conversion

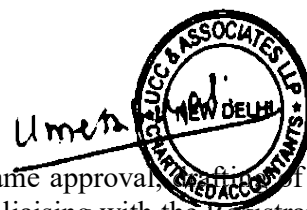
- Identification of applicable IFRS / Ind AS, IAS and IFRIC.
- Impact Analysis on existing financial statements.
- Roadmap for conversion into IFRS / Ind AS compliant Financial Statements.
- Requisite training and skills imparted to client.
- Advising on differences and their impact and making a decision tree for various alternatives and suggesting the one best suited.
- Assessing the need for Customisation of Accounting and other application software for IFRS / Ind AS compatibility.



4. CORPORATE LAW CONSULTANCY

A. Relating to Incorporation-

- Incorporation of Company which includes obtaining the name approval, preparation of the Memorandum and Articles of Association of the Company, liaising with the Registrar of Company for obtaining the Certificate of Incorporation etc., liaising with other



concerned departments for obtaining approval of SIA, FIPB and RBI where ever applicable.

- Incorporation of Limited Liability Partnerships (LLP) and related formalities thereto.
- Registration of Indian Branch/Liaison/Project office/Subsidiary of foreign companies.
- Registration with Foreign Contribution Regulation Act (FCRA) and related services.

B. Post Incorporation Services-

- Assistance in carrying out the company secretarial duties including dealing with Ministry of Corporate Affairs/Company Law Board.
- Preparation and filing of statutory returns, forms with Ministry of Corporate Affairs etc.
- Preparation of all documentation related to minutes and resolutions.
- Maintenance of statutory records.
- Advice regarding the recurring compliances under the Indian Companies Act
- Conducting of Board meetings, convening of General Meetings etc.
- Identification of issues such as managerial remuneration payable to directors, charges on properties, corporate governance and advice on the implications under the Indian Corporate laws on such issues.
- Shifting of Registered office and other specialised non – routine services.



C. Winding up procedures

- Providing assistance in liquidating Companies under Indian Companies Act.

D. FEMA and allied laws

- Providing clarifications and opinions on Foreign Exchange Management Act (FEMA).
- Filing of Forms – FCGPR and others under FEMA.
- Advising on remittances and withholding taxes.
- Advising on inbound and outbound investments.
- Obtaining Import Export Code.

5. TAXATION

A. Direct Taxation

• PAN and TAN –

- Applying and obtaining Permanent Account Numbers (PAN) and Tax Deduction Account Numbers (TAN).

• Income Tax Returns –

- Preparation and filing of income tax returns for Companies and other entities with the Revenue authorities and expediting the process of obtaining a refund.



- **Withholding Taxes –**

- Advice regarding tax withholding compliances under the Indian tax laws to Companies and helping in implementing the same.

- **Assessments/Appeals/revision -**

- "Representing" or "consultancy for representing" before the assessing authorities for expeditious and timely completion of assessments.
- Assistance in moving courts against any legal issues in assessments/ appeal orders and to pursue the appeals before Tribunal and higher appellate authorities. Also, in case of Public Sector Undertakings assistance in obtaining permission from Committee on Disputes (Cabinet Secretariat).

- **International Taxation services -**

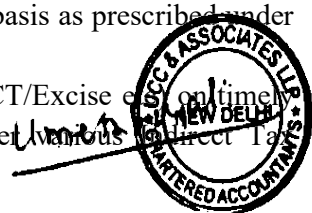
- Advise on Expatriate Taxation.
- Undertake a study to evaluate status of compliance with relevant statutes and appraise on exposure to risks, both Domestic and Foreign Tax laws.
- Providing income-tax opinions on various complex and litigative tax issues to the clients.
- Transfer Pricing Regulation-Compliance & Advice.
- Providing clarifications and opinions as and when required.
- Advise on maintenance of records.

- **Other Specialised Services -**

- Registration of Trusts under the Indian Income Tax Act, 1961 for obtaining exemption under section 10 and 11.
- Assistance in availing benefits, exemptions, relief, rebates and deductions for efficient tax planning.

B. Indirect Taxation

- **GST Registration** of Entities under various Indirect Tax Laws E.g. Service Tax, VAT, WCT, Custom, Excise, Import Export Code (IEC).
- **Return** processing and filling as per the time line.
- **Amendment and Cancellation of existing registration** of Entities under various Indirect Tax Laws E.g. Service Tax, VAT, WCT, Custom, Excise, Import Export Code (IEC).
- **Compliance Work mandatory under various Indirect Tax Laws:**
 - (i) Computation of Indirect Tax Liability (GST/VAT/Service Tax/WCT/Excise etc.) for depositing tax, including Scrutiny of accounts, Reconciliation work, Checking and ascertaining input tax credit available.
 - (ii) Deposit and assisting in deposit of Indirect taxes on timely basis as prescribed under various Indirect Tax laws.
 - (iii) Filling of Indirect Tax Returns (GST/VAT/Service Tax/WCT/Excise etc.) on timely basis (Half yearly /Quarterly/Monthly) as prescribed under various Indirect Tax laws.
 - (iv) Revision of Indirect Tax Returns.



- (v) Preparation of Annual Returns as prescribed under various Indirect Tax laws, including Checking and Scrutiny of accounts, Reconciliation with books of accounts.
 - (vi) Filling of Annual Returns as prescribed under various Indirect Tax laws.
 - (vii) Issuance of Statutory Forms like C Form, F Form, H Form etc.
 - (viii) Maintaining all documents and Registers as prescribed under various Indirect Tax Laws for compliance purposes.
- **Refunds of Indirect Taxes:** Assisting in getting Refunds under various Indirect Tax laws like Custom (SAD Refund), Service Tax, VAT etc.
- **Assessment & Appeals:**
- (i) Filing and pleading appeals/objection under various Indirect Tax Laws.
 - (ii) Representation before various VAT/Service Tax Authorities regarding Regular Assessment and in other matters like Compliance of various notices received from VAT/ Service Tax department;
 - (iii) Representation before Commissioner of VAT/ Service Tax in Appeals/Objection.
 - (iv) Representation before VAT/ Service Tax Tribunal in Appeals.
 - (v) Representation before Service Tax Authorities regarding Adjudication and Service Tax Audit and other matters.



6. BUSINESS PROCESS OUTSOURCING

A. Accountancy and related services –

- **Maintenance of Accounts/Records**
 - In ERP/Tally/SAGE and other packages
- **Preparation of Accounting Manual**
 - In accordance with World bank and other client specific requirements
- **Setting up of /improvement in Accounting System and procedures –**
 - In a new / existing organization.
- Inspection of Branch Accounts, Consignment Issues, and Finalization of Accounts either on a yearly lump sum basis or concurrent basis.
- **Advisory and other services –**
 - Design and Set-up Business Process and Internal Control systems.
 - Preparation and implementation of Systems and Process manuals.
 - Providing detailed trading profit and loss account and balance sheet, along with ratio analysis and management report highlighting key business trends, providing regular meaningful figures to base the business decisions.
- **Manpower Sourcing –**
 - Assistance in manpower recruitment (key personnel), Participation in Recruitment Panels.



B. Financial services –

• **Working Capital Requirements –**

- Assessing the working capital requirements, preparing the necessary data and liaising with Banks/Financial Institutions.

• **Loan agreements -**

- Vetting of Loan agreement covenants and suggesting ways to minimize cost of financing.

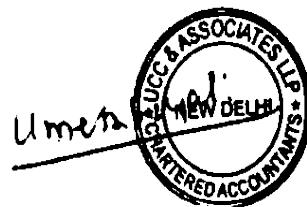
C. Other Matters –

- Company incorporation, Company Secretarial Matters and FEMA Matters – Refer to section on Corporate Law consultancy
- Registration under Shop and Establishment Act.
- Conducting training and other workshops as per requirements of clients
- Business Valuations.

3. Industry Expertise:

We are proud to be associated with a clientele that represents almost the entire business arena. Our clients represent the following industries:

- Infrastructure Industry
- Manufacturers of Engineering Products
- Financing Industry
- Agriculture Industry
- Telecom & Networking Products & Services
- Information Technology Enable Services, BPO.
- Food Processing Industry
- Agro Processing Industry
- Educational Institute
- Printing and Paper Industry
- Import and Exports
- Handicrafts
- Health Care Products & Services
- Banking & Related Services
- Trading Organizations
- Service Industry
- Logistics



- Government Authorities
- Various Non-Governmental Organisations (N.G.O.'S Are Generally Funded by Various Institutions from Within and Out of India)
- Societies & Organizations Involved In:
 - Cultural and Religious Activities
 - Housing Projects

Relevant Experience:-

Some of the Major clients of our firm is listed below:

S.No	Name of Company	Industry
1.	KUTCH Railways Company Limited	Railway Infrastructure
2.	Delhi Aviation Fuel Facility Private Limited	Infrastructure Industry
3.	Rail Vikas Nigam Ltd.	Infrastructure Industry
4.	Krishna Pattnam Railway Co. Ltd.	Infrastructure Industry
5.	Indian Railway Stations Development Corp. Ltd	Infrastructure Industry
6.	NMDFC	Finance
7.	India Railway finance Corporation	Finance
8.	Housing & Urban Development Corporation Ltd.	Finance
9.	National Agricultural Cooperation Marketing Federation of India Ltd.	Agriculture Industry/Trading
10.	RailTel Corporation of India Ltd.	Telecom
11.	Mahanagar Telephone Nigam Limited (MTNL)	Telecom
12.	Cellnext Solutions Ltd.	Communication
13.	Value first Digital Media Pvt Ltd.	Communication
14.	National Institute of open Schooling	Education
15.	Footwear design and development Institute	Education
16.	Maulana Azad National Academy for Skills	Education
17.	Ideal Institute of Technology	Education
18.	Spoton media Pvt. Ltd.	Advertising/media
19.	Indian Seah Metal Precision	Manufacturing
20.	Internet Exports Llp	Manufacturing
21.	RRB Energy Private Limited	Power
22.	Seagam Morphus	Sim Manufacturing

E-mail: info@uccglobal.in

Ph: 011-66624518/519, 9599562240



23.	Smartchip Private Limited	Sim Manufacturing
24.	Syscom Corporation Limited	Sim Manufacturing
25.	Central Coalfields Limited	Power
26.	NBCC India Ltd.	Construction
27.	Uttarakhand Power Corporation Ltd	Power
28.	Container Corporation of India Ltd.	Transportation and Logistics
29.	AAI Cargo Logistics & Allied Services Company Limited	Transportation and Logistics
30.	HLL Lifecare	Healthcare
31.	UJVN Limited	Power
32.	Power Grid Corporation of India Ltd.	Power
33.	Nuclear Power corporation of india ltd	Power
34.	Rajasthan State Road transport Corporation	Road Transport
35.	REC power distribution Company limited	Power
36.	National Research Development Corporation	Research
37.	India Trade Promotion Organization-ITPO	Hospitality
38.	KUTCH Railways Company Limited	Railway Infrastructure
39.	Delhi Aviation Fuel Facility Private Limited	Infrastructure Industry
40.	Rail Vikas Nigam Ltd.	Infrastructure Industry
41.	Krishna Pattnam Railway Co. Ltd.	Infrastructure Industry
42.	Indian Railway Stations Development Corp. Ltd	Infrastructure Industry
43.	NMDFC	Finance
44.	India Railway finance Corporation	Finance
45.	National Agricultural Cooperation Marketing Federation of India Ltd.	Agriculture Industry/Trading
46.	RailTel Corporation of India Ltd.	Telecom
47.	RVNL Medical & Welfare Trust	Trust
48.	Department of Trade & Taxes	DGST Audit
49.	Employees' Provident Fund Organisation Cutler Hammer Provident Fund Trust	Third Party Audit (Trust)
50.	National Investigation Agency	Forensic Audit
51.	Mahanagar Telephone Nigam Limited (MTNL)	Telecom

E-mail: info@uccglobal.in

Ph: 011-66624518/519, 9599562240



4. Team Composition and Key Personnel:

Details of the Partners (For Detailed profile access our website)

Partners, names and membership No. given below, each of them is having a rich and varied experience.

S. No.	Name	FCA/ ACA	Professional Experience (Years)	M. No.
1	Umesh Chand Goyal	FCA	34	088328
2	Sunita Umesh	FCA	34	088316
3	Vikram Kaliraman	FCA	23	096662
4	Ram Avtar Sharma	FCA	18	405802
5	Seema Sandeep	FCA	16	509566
6	Arihant Jain	FCA	12	525951
7	Sharma Devendra Mohan	FCA	37	084838
8	Pulkit Jain	ACA	06	436192
9	Hardik Vishanji Thacker	FCA	10	157659
10	Jitender Sharma	FCA	08	537319
11	Jyoti Aggarwal	FCA	06	544681
12	Shripati Sharma	FCA	14	517394
13	Ujjwal Mahajan	ACA	1	574142

Staff details

Details of staff (Other than Partner) is given hereunder:

Qualified CA's	10
Qualified CS	2
Qualified CMAs	2
Graduates	45
HR & Admin personnel	5
CA Trainees (Three years)	45



5. Quality Assurance and Compliance:

Quality Control Framework

At UCC and Associates LLP, we are committed to upholding the highest standards of audit quality through a robust quality control framework. Key elements include:

Comprehensive Quality Control Policies: We have rigorous policies that govern every stage of our audit process, from planning through reporting, ensuring each audit meets the highest standards of accuracy and reliability.

***Audit Engagement Review:** Our audit engagements undergo thorough reviews by senior audit professionals, with a focus on quality, transparency, and adherence to industry standards.*

Regulatory Compliance

Compliance with both international and local regulations is integral to our operations at UCC and Associates LLP:

***Alignment with Key Standards:** Our audits comply fully with International Standards on Auditing (ISA) and Generally Accepted Auditing Standards (GAAS). We also maintain full awareness and compliance with Bhutanese regulatory requirements, including those of the Royal Audit Authority of Bhutan.*

***Ongoing Training and Certifications:** To ensure ongoing compliance, our team participates in regular training and certification programs to stay current with evolving standards and best practices in the auditing field.*

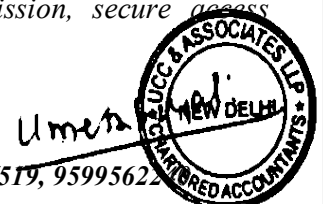
External Peer Reviews

ICAI Reviews: We are subject to a mandatory review by the Institute of Chartered Accountants of India (ICAI) every three years. These reviews rigorously assess our adherence to quality standards, providing valuable feedback that helps us continually improve our audit processes.

6. Technology and Innovation:

UCC and Associates LLP places a strong emphasis on using advanced technology to enhance audit efficiency, data analytics, cybersecurity, and overall service delivery. Our firm leverages leading software and tools to support these objectives, including:

- ***Audit Software:** We employ industry-leading audit software to streamline our audit workflows, ensuring consistency, accuracy, and compliance with international standards.*
- ***Data Analytics:** Using powerful data analytics tools, our team can analyze vast data sets to identify trends, anomalies, and risks, providing deeper insights that enhance audit quality and add value for our clients. We use excel audit features for data analysis.*
- ***Cybersecurity Measures:** Recognizing the importance of data security, we incorporate robust cybersecurity protocols, including encrypted data transmission, secure access controls, and regular vulnerability assessments.*



- **Real-time Collaboration Platforms:** To facilitate seamless collaboration with clients and within our teams, we utilize secure cloud-based platforms, which allow us to efficiently share information and track progress.

7. **Client Portfolio and References:**

We have been associated with various industries and some of the references of our major clients are as follows:

- ❖ Bharat Rasayan Limited: Rakesh Verma (CFO)
rkverma@bharatgroup.co.in
- ❖ ITPO: Aanchal Aggarwal
anchalaggarwal@itpo.gov.in
- ❖ National High Speed Railway Company Limited: Anshul Gupta
dgmfin1.st@nhsrcl.in
- ❖ Kutch Railway Company Limited: Gyanendra Srivastva
gyanks@gmail.com
- ❖ Angul Sukinda Railway Company Limited:
cfo@asrl.in
- ❖ Central Warehousing corporation Limited: Vijay Kumar
fcavkgarg@cewacor.nic.in
- ❖ Integrated Exhibition-cum-Convention Centre (IECC): Nikhil Jain
nikhil.iicc@nicdc.in

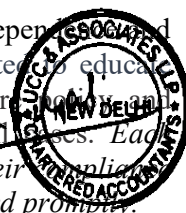
8. **Ethical Standards and Independence:**

The ethical requirements contained in the Code of Ethics issued by the ICAI has been properly followed as per the internal policies and processes.

UCC and Associates LLP is deeply committed to upholding the highest standards of ethical conduct, integrity, and professionalism in every aspect of our work. Our **Code of Ethics** is a cornerstone of our firm's culture, guiding our team's actions and decisions in all client engagements. Key elements of our Code of Ethics include:

- **Commitment to Integrity and Honesty:** We believe in conducting our work with honesty, transparency, and respect. This commitment to integrity ensures that we always act in the best interest of our clients and the broader community.
- **Professional Conduct Standards:** Our firm holds all employees to stringent standards of professionalism. This includes respecting confidentiality, providing accurate and unbiased reporting, and avoiding any conduct that could bring disrepute to our clients or our firm.
- **Accountability and Responsibility:** We encourage a culture of accountability, where every team member is responsible for upholding ethical standards. This is reinforced through regular ethics training and a clear reporting process for any potential ethical concerns.
- **Commitment to Independence and Objectivity:** As part of our ethical standards, UCC and Associates LLP places a strong emphasis on independence and objectivity in all professional engagements, ensuring that our services are free from conflicts of interest and that our work is unbiased and trustworthy.

Mr. Umesh Chand Goyal (Managing Partner) is responsible for all aspects of independence and ethics of the PUs partners and professional staff. Regular sessions are conducted to educate personnel about the organization's independence and ethics policies. There are strict procedures to ensure independence for all professional and partners and staff. Each member of our team completes an annual independence declaration, affirming their compliance with our policy. This ensures that any potential conflicts are disclosed and resolved promptly.



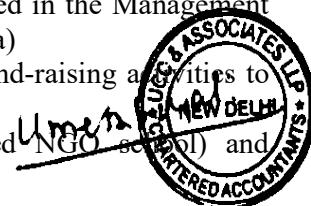
9. Certifications and Accreditations:

Additional Specialised qualifications available in Firm	<ul style="list-style-type: none"> • Active licenced CPAs (USA) • Qualification certificate to act as Independent Directors • Certificate in Fraud Accounting and detection • Diploma in Information System Audit • Qualified to act as Resolution Professional • Certificate for conducting Peer Review • Certificate in Prevention of Money laundering • Certificate in Public Finance and Government Accounting • Certificate for Forensic Document detection • Masters in Business Administration • Company Secretary • Cost Accountants • Certification in IFRS • Certificate in Concurrent Audit • Post Graduate Diploma in Computer Application • Bachelor in Law
Membership in Professional Bodies (Of Firm/Partners)	<ol style="list-style-type: none"> 1. Confederation of Indian Industries 2. PHD chamber of Commerce and Industry 3. Bombay Chartered Accountant Society 4. Certified Public Accountancy Board, Colorado. 5. Independent Director Databank 6. Insolvency and Bankruptcy Board of India <p>IDBI Bank</p>

10. Corporate Social Responsibility (CSR):

UCC and Associates LLP is committed to making a positive impact in the communities we serve, prioritizing sustainability, community involvement, and contributions to the accounting profession. Our CSR initiatives reflect our firm's values of social responsibility, ethical business practices, and dedication to societal well-being. Key CSR efforts include:

- ❖ One of our Partner CA Umesh Chand Goyal has been included in the Management committee of the Sankalp Welfare society, Gurugram (Haryana)
We have been providing the Accounting, Compliance and fund-raising activities to Sankalp Welfare society.
- ❖ We have been associated with Happy school (Govt aided NGO school) and providing the Education to the needy students.



- ❖ One of our partner is included in the Governing body of the Lady IRWIN College, Delhi
- ❖ On our annual day we perform tree plantation activities in our neighbourhood
- ❖ We have been contributing in the Food distribution in the outside of AIIMS.
- ❖ We are going to arrange Free food facility to 500 people in Gurgaon Civil Hospital once in a month.

11. Awards and Recognition:

- ICICI Bank for Micro Credit lending audit
- United Ways of Delhi as Corona Warrior
- Happy School towards outstanding services
- DMA for Women Entrepreneurship
- NIRC od ICAI for Guest Speaker
- National institute of Financial Management for Guest Speaker
- Airport Authority of India as GST trainer
- IFRS trainer by Rail Vikas Nigam Limited and IRCON as IFRS trainer
- J C Mills School for CSR

12. Contact Information:

Head Office:	
1315 Ansal Tower 38, Nehru Place New Delhi – 110019 9810044684 Website:- www.uccglobal.in	
BRANCH OFFICES	
<u>GURUGRAM:</u>	<u>NOIDA:</u>
B-1/02, Palm Grove Villa, Ardee City, Gate No. – 01, Sector – 52, Gurgaon – 122011 (Haryana) 9810266702	1201, Ansal Corporate Park Plot No. 7-A, Sector – 142 Noida – 201301 (Uttar Pradesh) 9958694344
<u>JAIPUR:</u>	<u>DEHRADUN:</u>
Unit No. 304, 3rd Floor, Orbit Arcade International, Madrapura, Ajmer Road Jaipur – 302006 (Rajasthan) Ph: +91-9312783072	90-B, Sai Enclave, Dehrakhas Dehradun – 248001 (Uttarakhand) Ph: +91-8800411955
<u>GANDHIDHAM:</u>	<u>MUMBAI:</u>
Plot No. 182, First Floor Ward 8A, Subhas Nagar	B-606, Western edge-II, Bellur, W.E. Highway, Borivali East, Mumbai-400066

E-mail: info@uccglobal.in

Ph: 011-66624518/519, 9599562240



Gandhidham– 370201 (Gujarat) Ph: 9898117333	Ph: 9810044684
JAMMU 19/10 VENEYAK LANE, MAIN BAZAR, OPP MAHAJAN SABHA, AKHNOOR, JAMMU, JAMMU TAWI, 181201 Ph: 9149433984	

Important Contact Details

Head Office:

Mr. Umesh Chand Goyal, FCA

Founder and National Practice Leader

E-mail: umesh.goyal@uccglobal.in

Ph: +91-9810044684

Corporate Office:

Mrs. Sunita Umesh, FCA

Joint Managing Partner

E-mail: sunita.umesh@uccglobal.in

Ph: +91-9810266702

13. Why Choose Us:

UCC and Associates LLP, a leading Chartered Accountant firm, stands out in the marketplace due to our unwavering commitment to excellence, integrity, and client-centric solutions. Our unique selling proposition encompasses the following key advantages:

- **Expertise in Accounting and Auditing:** As a dedicated CA firm, we possess deep technical knowledge in accounting standards, tax regulations, and auditing practices. Our team of qualified Chartered Accountants brings a wealth of experience across various industries, ensuring comprehensive and compliant financial solutions.
- **Commitment to Quality and Ethical Standards:** At UCC, we prioritize maintaining the highest standards of quality and ethical conduct. Our adherence to stringent professional guidelines, combined with regular peer reviews by the Institute of Chartered Accountants of India (ICAI), guarantees reliable and accurate services that clients can trust.
- **Tailored Solutions for Diverse Needs:** We understand that each client has unique requirements. UCC offers a wide range of services—including audit, tax advisory, and financial consulting—tailored to meet the specific needs of businesses, from startups to established corporations. Our personalized approach ensures that we address each client's goals effectively.
- **Integration of Advanced Technology:** Leveraging cutting-edge technology and data analytics, we enhance audit efficiency and accuracy. Our investment in innovative software and tools enables us to deliver timely insights and proactive solutions that empower our clients to make informed financial decisions.
- **Focus on Long-Term Relationships:** We believe in building lasting relationships with our clients based on trust, transparency, and mutual success. Our commitment to client satisfaction drives us to go beyond traditional services, positioning UCC as a strategic partner in our clients' growth and success.

Choosing UCC and Associates LLP means partnering with a reputable CA firm that delivers exceptional value, professional integrity, and expert guidance tailored to your needs. We are **UCC & ASSOCIATES LLP** CHARTERED ACCOUNTANTS NEW DELHI

E-mail: info@uccglobal.in

Ph: 011-66624518/519, 9599562240

dedicated to supporting your business's success with comprehensive, forward-thinking solutions.

14. Terms of Engagement:

UCC and Associates LLP is dedicated to providing high-quality audit services that adhere to the highest standards of professionalism and integrity. Below is an overview of our engagement process. Engagement Process is depending on the nature of services to be provided to the Client.

Our engagement process is designed to ensure a seamless experience:

1. **Initial Consultation:** We will discuss your specific audit/other service requirements and objectives.
2. **Proposal Development:** A detailed proposal will be created outlining the scope, timeline, and fees.
3. **Engagement Letter:** Upon acceptance, an engagement letter will formalize our agreement.
4. **Audit/Service Execution:** Our team will conduct the audit, maintaining open communication throughout.
5. **Reporting:** We will present a comprehensive report with our findings and recommendations.
6. **Follow-Up:** A follow-up meeting will be held to discuss the report, and any further support needed.

Fee Structure:

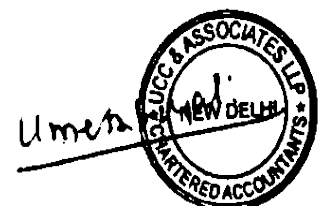
Our fee structure is, in compliance with the norms set by the Institute of Chartered Accountants of India (ICAI).

15. Conclusion:

At UCC and Associates LLP, we are committed to delivering comprehensive financial solutions tailored to your unique business needs. Whether you require auditing, tax advisory, financial consulting, or any of our other specialized services, our team of experienced Chartered Accountants is here to help you achieve your goals.

Take the first step toward enhancing your financial health and operational success!

Let UCC and Associates LLP be your trusted partner in navigating the complexities of finance and accounting. We look forward to the opportunity to work with you and contribute to your success!



List of paid CAs and other qualified/Semi qualified Staff along with the qualification and experience

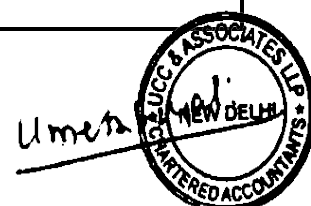
S.No	Name of the Staff	Educational Qualifications	Experience
1	Neha Juyal	B.com, ACA	Accounting, Taxation and Concurrent Audit
2	Manish Kumar	B.com, ACA, CIFRS	Handling various audits of PSUs, Govt Companies, Societies, Trust etc.
3	Mansi Bansal	B.com, ACA	Currently handling the Taxation assignments of the firm
4	Aanchal Jain	B.com, ACA	Handling various audits of PSUs, Govt Companies, Societies, Trust etc.
5	Bhupesh Aggarwal	B.com, ACA	Currently handling the Taxation assignments of the firm
6	Madhura Mukesh Dalvi	B.com, ACA	Experience of Audit in Commercial Sector
7	Disha Ishwar Thacker	B.com, ACA	Experience of Audit in Commercial Sector
8	Seema Sharma	B.com, ACA	Handling various audits of PSUs, Govt Companies, Societies, Trust etc.
9	Santosh Kumar Gupta	B.com, ACA	Currently handling the Taxation assignments of the firm
10	Deepak Sharma	B.com, ACA	Currently handling the Taxation assignments of the firm

Details of Semi-qualified Staff (including Article Clerks etc.

S.No.	Name of Staff	Educational Qualifications	Experience
1.	Tushar Gupta	IPCC BG	-
2.	Aman Midda	IPCC BG	Internal /Concurrent Audit & Statutory Audit
3.	Kanak Dwivedi	B.Com	Internal /Concurrent Audit & Statutory Audit
4.	Rahul Singh Dangi	IPCC I G	Internal /Concurrent Audit & Statutory Audit
5.	Pooja Kumari	B.Com	Internal /Concurrent Audit & Statutory Audit
6.	Jyoti Mishra	B.Com	Internal /Concurrent Audit & Statutory Audit



7.	Dev aggarwal	IPCC I G	Internal /Concurrent Audit & Statutory Audit
8.	Kajal Rexwal	B.Com	Internal /Concurrent Audit & Statutory Audit
9.	Kiran Garg	B.Com	Internal /Concurrent Audit & Statutory Audit
10.	Puneet	IPCC I G	Internal /Concurrent Audit & Statutory Audit
11.	Harsh Kumar Singh	IPCC I G	Internal /Concurrent Audit & Statutory Audit
12.	Swati	B.Com	Internal /Concurrent Audit & Statutory Audit
13.	Kapil	IPCC I G	Internal /Concurrent Audit & Statutory Audit
14.	Sachin Singla	IPCC BG	Internal /Concurrent Audit & Statutory Audit
15.	Anurag Gupta	IPCC I G	Internal /Concurrent Audit & Statutory Audit
16.	Harsh Kumar Jha	IPCC BG	Internal /Concurrent Audit & Statutory Audit
17.	Ashutosh Dubey	IPCC BG	Internal /Concurrent Audit & Statutory Audit
18.	Gopal Agrawal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
19.	Mithun	IPCC BG	Internal /Concurrent Audit & Statutory Audit
20.	Lalita	IPCC BG	Internal /Concurrent Audit & Statutory Audit
21.	Riya Bindal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
22.	Poonam Singhal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
23.	Ayushi Aggarwal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
24.	Tamim	IPCC BG	Internal /Concurrent Audit & Statutory Audit
25.	Saijal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
26.	Himanshu	IPCC BG	Internal /Concurrent Audit & Statutory Audit
27.	Durgesh	IPCC BG	Internal /Concurrent Audit & Statutory Audit
28.	Shallu Thakur	IPCC BG	Internal /Concurrent Audit & Statutory Audit



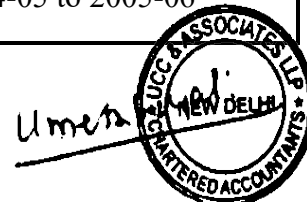
29.	Monika Mangal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
30.	Rohit Kandpal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
31.	Satyam	IPCC BG	Internal /Concurrent Audit & Statutory Audit
32.	Isha Sethi	IPCC BG	Internal /Concurrent Audit & Statutory Audit
33.	Piyush	IPCC BG	Internal /Concurrent Audit & Statutory Audit
34.	Ansh Markandey	IPCC BG	Internal /Concurrent Audit & Statutory Audit
35.	Tushar Jindal	IPCC BG	Internal /Concurrent Audit & Statutory Audit
36.	Mohd. Hashim	IPCC BG	Internal /Concurrent Audit & Statutory Audit
37.	Chandan Khatri	IPCC BG	Internal /Concurrent Audit & Statutory Audit
38.	Sonam	IPCC BG	Internal /Concurrent Audit & Statutory Audit
39.	Vijay	IPCC BG	Internal /Concurrent Audit & Statutory Audit
40.	Karnal Kumar	IPCC BG	Internal /Concurrent Audit & Statutory Audit



BANK EXPERIENCE DETAIL

Detail of Internal Audit (Concurrent Audit) Experience in Banks:

S.No.	Bank Name	Branch(es)	Experience
1.	Baroda Gujarat Gramin Bank	Shinay, Sankar Nagar, Bhachau	From 01.04.2022 to 31.03.2025
2.	Union bank of India	B.C. Palace branch, New Delhi	From 01.07.2020 to 2022-23
3.	State Bank of India	RACPC Gandhidham, MCG Branch, Gurgaon	From FY 2022-23 To FY 2024-25
4.	Bank of India	Noida Branch (Ghaziabad)	From FY 2022-23 To FY 2024-25
5.	Central Bank of India	Kalkaji, Delhi, Janpath New Delhi,	From 1.01.2015 to 31.12.2017 and 01.04.2023 to 31.03.2024
6.	Canara Bank	Lajpat Nagar, Delhi and Okhla Kalkaji New Delhi	From July 2016 to June 2017 and July 2017 to June 2018
7.	Indian Overseas Bank	Kailash Colony New Delhi	From FY 2018-19 To 2020-21
8	Syndicate Bank	Civic Centre Minto Road New Delhi, AHQ VAYU Bhawan New Delhi	FY 2018-19, FY 2019-20
9	Oriental Bank of Commerce	New Railway Road, Gurgaon and Rani Bagh, Delhi MSME dept at Head Office	From 1.01.2013 to 31.12.2013 FY 1.04.2017 to 31.03.2019 FY 01.04.2019 to 31.03.2020
10	UCO Bank	South City, Gurgaon	From Oct 2016 to Sept 2017
11	Vijaya Bank	Agra Cantt. and Alwar	F.Y. 2004-05 to 2005-06



		Branch	
112	Allahabad Bank	Connaught Circus Branch	F.Y. 2001-02 to 2003-04

Detail of Statutory Audit Experience in Banks:

S.No.	Bank Name	Branch(es)	Experience
1.	UCO Bank	Batala, Bhaini Mian Khan, Industrial Area Jullundur, Bhagwan das Road Jaipur & Lalsot MC-Nehru place, Singh Bhagwant pura Hazira, Bulsar Main, Bil Baroda	Fy 2017-18 Fy 2018-19 Fy 2019-20 FY 2020-21
2.	State Bank of India	Nariman Point, Mumbai; Navyug Market, Ghaziabad; New Colony Gurgaon; Model Town Ghaziabad; Mayapuri, Delhi; NEPZ Noida; G.E. Capital, Gurgaon; Naraina Ind. Area, Delhi; Palam Vihar, Delhi and Hodal, Faridabad	F.Y. 2010-11 to 2013-14
3.	Jammu & Kashmir Bank	Okhla, Delhi	F.Y. 2011-12
4.	State Bank of Hyderabad	Narsingapur, Gurgaon; Noida; Vikas Marg, Delhi and Budhwara, Bhopal; Ludhiana; Patiala; Chandani Chowk, Delhi and Hyderabad	F.Y. 2003-04 to 2007-08
5.	The Faridabad Central Co-operative Bank Limited	Sector – 16, Faridabad	F.Y. 2009-10
6	Central Bank of India	Kashmere Gate, Delhi and Lawrence Road, Delhi	F.Y. 1999-2000 to 2001-02

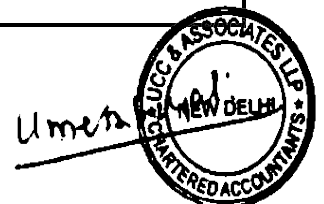


Detail of I.S. Audit Experience in Banks:

S.No.	Bank Name	Branch(es)	Experience
1.	Oriental Bank of Commerce	Palam, Delhi; Lajwanti Garden, Delhi;	2013-14
		Gautam Budh Nagar, Noida; 34,62,63	2014-15
		Air Force Station, Palam;	2016-17
		Rabupura; Mata Sheetla Devi, Gurgaon; New Friends Colony Delhi, Railway Road, Dadri & Noida	2017-18
		Gurugram-DLF city phase-ii, Noida-Sector-61	2018-19
		Railway Road, Dadri, Noida ,Gurugram - Basai Road (1023), Karol Bagh New Delhi	2019-20

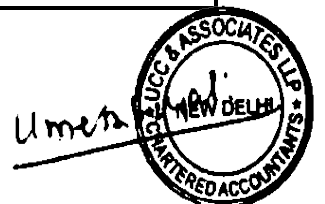
Detail of Income and Revenue Audit Experience in Banks:

S.No.	Bank Name	Branch(es)	Experience
1.	Oriental Bank of Commerce	Badha District, Gurgaon	1 st January 2017 to 31 st December 2017
2.	Punjab & Sind Bank	Chandani Chowk, Delhi	F.Y. 1999-2000
3	Punjab National bank	Haroli, Kungrat, Nangran & Dabat Majari (H.P.) Dera, Jangpura, Khanpur Defence Colony, Geetanjali, New Delhi Darya Ganj, Sadar Bazar, Safdarjung Enclave New Delhi & Sarna ,Vaishali (UP)	01.01.2018 to 31.12.2018 01.01.2021 to 31.12.2021 01.01.2022 to 31.12.2022
4	Bank of Maharashtra	East Pater Nagar New Delhi Preet Vihar New Delhi Dr Mukherjee Nagar Delhi Paschim Vihar Delhi	01.10.2021 to 31.12.2022

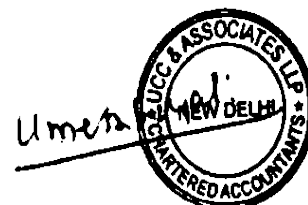


Detail of Stock Audit Experience in Banks:

S.No.	Bank Name	Borrower Name/Branch(es)	Experience of Period
1.	Canara Bank	M/S Desein Pvt Ltd (LCB Nehru Place (2624))	2023-24
		M/S Rahul Dairy (Punhana)	2023-24
		Girnar Food & Beverages P Ltd (A R Street (102))	2023-24
		H V Equipments Pvt Ltd (Nehru Place (390))	2023-24
		Textrade International Ltd (SAM (15550))	2023-24
		Box and Carton India Pvt Ltd (Faridabad Tikona Park), Classic Construction (Gurgaon Sec 10A), Anil Motor Company (Gurgaon Sec 5)	2023-24
		Twenty-Four Secure Services Pvt Ltd (Nehru Place (390))	2023-24
		M/s Tv Today Network Ltd (F-19 Connaught Circus (143))	2022-23
		ONGC Mangalore petrochemicals limited (LCB Connaught place (1942))	2021-22
		ONGC Mangalore petrochemicals limited (LCB Connaught place (1942))	2020-21
		Clear Water Limited (Maharani Bagh (0349))	2020-21
		Pes Installations Pvt Ltd (Shakti Nagar (1170))	2020-21
		Angel Feeds (Panipat SME)	2020-21
		B.D Overseas (Taraori)	
		DMG Poly Pack (Faridabad MCB)	2020-21
		M/s SRB Papertech Ltd (Jaipur Mi Road)	2020-21
		M/S GV Marbles India Pvt (Kishan Garh Main)	
2	Bank of Baroda	Vaishno Associates (P H Road)	2020-21
		Shankar Steels (Jhotwara)	2020-21



		M K Packaging Industries (Jawahar Nagar)	2020-21
		Deepesh Engineering Works (Vidyadhar Nagar)	2021-22
		M/S Rishabh Constructions (Adarsh Nagar)	2021-22





The Institute of Chartered Accountants of India

(Setup by an Act of Parliament)

Peer Review Board

Peer Review Certificate No.: 016752

This is to certify that the Peer Review of

M/s U C C & Associates LLP

1315, Ansal Tower,

38, Nehru Place,

New Delhi-110019

FRN.: 010585N/N500017

has been carried out for the period

2020-2023

pursuant to the *Peer Review Guidelines 2022*, issued by the Council of the Institute of Chartered Accountants of India.

This Certificate is effective from: 01-05-2024

The Certificate shall remain valid till: 30-04-2027

Issued at New Delhi on 06-05-2024



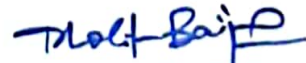
CA. Prasanna Kumar D

**Chairman
Peer Review Board**



CA. (Dr.) Raj Chawla

**Vice-Chairman
Peer Review Board**



CA. Mohit Baijal

**Secretary
Peer Review Board**



Note : The Certificate is issued on behalf of the Peer Review Board of ICAI and ICAI or any of its functionaries are not liable for any non-compliance by the Practice Unit. The Certificate can be revoked for the reason stated in the '*Peer Review Guidelines 2022*'.