



## The Institute of Chartered Accountants of India

(Setup by an Act of Parliament)

### THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA

(Decentralised Office)

ICAI BHAWAN,PLOT NO. 52,53& 54, VISHWAS NAGAR,SHAH DARA,DELHI-110032

Tel.: 011-30210652/654 FAX.:011-30210680

E-Mail:nro@icai.in Website:<http://www.icai.org>

#### TO WHOMSOEVER IT MAY CONCERN

This is to certify that the undermentioned firm of chartered Accountants is registered under the Chartered Accountants Act,1949 and the Chartered Accountants Regulations 1988 made thereunder. The name/s of the Proprietor/Partner therein is/are given below:

**Regn. No.(F.R.N)**

002535N

**Name of the Firm**

M/s. ANISH ASHISH & CO

**H.O. Address**

FLAT NO-8  
BLOCK NO-8A  
HOUSING COLONY BCS  
PHASE-III  
SECTOR-VI(PART-II) NEW  
SHIMLA-9  
SHIMLA  
171009

**Year of Establishment**

21/07/1980

**Date from which it is continuing as a Partnership Firm**

21/07/1980

**Constitution of the Firm as on Firm GSTIN ( Head Office )**

17/10/2024

**Address(es) of Branch Offices**

1. 54/93 PANCHWATI MARG,RAJAT PATH,MANSAROVER,JAIPUR,302020
2. 2481/9 2ND FLOOR,GURUDWARA ROAD,KAROL BAGH,NEW DELHI,110005
3. K-28,SARITA VIHAR,3RD FLOOR,NEW DELHI,NEW DELHI,110076
4. PLOT NO-130,BASAL, CHAMBAGHAT,SOLAN,SOLAN,173213

**Particulars of Partners/Proprietors:**

No.	Member Name	Type	Membership Number	Admission Date as Partner/Proprietor	*Deemed Date	FCA Date	ACA Date	Individual Practice/Association with other firm/Occupation	Paid Assistant in the same Firm From To	
1	GUPTA VIJAY KUMAR	FCA	014197	21/07/1980	20/01/1973	20/01/1978	20/01/1973			
2	GUPTA ANISH KUMAR	FCA	099399	15/12/2004	18/01/2002	03/04/2007	18/01/2002			
3	KRITI JAIN	FCA	422871	23/11/2015	17/11/2015	03/05/2018	15/04/2013		15/09/2013	22/11/2015
4	KAPIL RASTOGI	FCA	503043	01/09/2019	01/05/2019	20/07/2019	09/02/2004			
5	GUPTA ASHISH	FCA	503829	15/12/2004	28/07/2004	29/07/2009	28/07/2004			
6	NEERAJ ASHOK KHANDELWAL	FCA	518014	23/11/2015	23/11/2015	23/11/2015	11/10/2010		01/01/2013	22/11/2015
7	MOHD ALI	FCA	543657	14/08/2024	05/07/2024	24/08/2024	04/12/2016		01/08/2023	13/08/2024
8	KAMNA	ACA	577300	14/08/2024	25/07/2024		25/07/2024			

# Deemed date of joining in the Firm

( Dinesh Kumar Mishra )  
Deputy Secretary

\* Deemed date of commencement of Firm

**Disclaimer :** “This is a system generated Card / Certificate. Please inform us with any discrepancy that you may notice before you submit it for

any official use.”



# The Institute of Chartered Accountants of India

(Setup by an Act of Parliament)

18/10/2024

## ICAI FIRM CARD

### PARTICULARS OF FIRM ON DATE FOR SEEKING CONFIRMATION THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA - DELHI FIRM CARD

Firm Regn. Number	002535N
Name of the Firm	M/s. ANISH ASHISH & CO
Init Constitution	Partner
Date Cleared	21/07/1980
Date Constituted	21/07/1980
Firm GSTIN ( Head Office )	

#### Head Office and Branch Details:

No.	BRANCH CODE	ADDRESS	OPEN_DATE	CLOSE_DATE	TOTAL INCHARGE	TELEPHONE	FAX	EMAIL/WEBSITE
1	HO 002535N	FLAT NO-8, BLOCK NO-8A, HOUSING COLONY BCS PHASE-III, SECTOR- VI(PART-II) NEW SHIMLA-9, SHIMLA, HIMACHAL PRADESH, INDIA, 171009	21/07/1980		1	9810261432		anishashish21@gmail.com
2	BR 008	54/93 PANCHWATI	01/06/2018		1	7838626077		anish_ashish@yahoo.com

		MARG, RAJAT PATH, MANSAROVER, JAIPUR, RAJASTHAN, INDIA, 302020						
3	BR 009	2481/9 2ND FLOOR, GURUDWARA ROAD, KAROL BAGH, NEW DELHI, DELHI, INDIA, 110005	09/08/2018		1	9873730020		kh_neeraj1987@yahoo.co.in
4	BR 012	K-28, SARITA VIHAR, 3RD FLOOR, NEW DELHI, NEW DELHI, DELHI, INDIA, 110076	01/07/2021		1			
5	BR 015	PLOT NO-130, BASAL, CHAMBAGHAT, SOLAN, SOLAN, HIMACHAL PRADESH, INDIA, 173213	01/01/2022		1			

**PROPRIETOR/PARTNERS:**

MRN	NAME	COP_STATUS	JOIN_DATE	LEFT_DATE	INCHARGE	STATUS	OTHER FIRMS	YR OF DISA QUALF
014197	GUPTA VIJAY KUMAR	FCA Full Time	21/07/1980		015			
099399	GUPTA ANISH KUMAR	FCA Full Time	15/12/2004		002535N			
422871	KRITI JAIN	FCA Full Time	23/11/2015		008			
503043	KAPIL RASTOGI	FCA Full Time	01/09/2019		012			2020

503829	GUPTA ASHISH	FCA Full Time	15/12/2004					
518014	NEERAJ ASHOK KHANDELWAL	FCA Full Time	23/11/2015		009			
543657	MOHD ALI	FCA Full Time	14/08/2024					
577300	KAMNA	ACA Full Time	14/08/2024					

**PAID ASSISTANTS:**

MRN	NAME	COP_STATUS	JOIN_DATE	LEFT_DATE	INCHARGE	STATUS	FULL/PART
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M/S. ANISH ASHISH & CO

FLAT NO-8 BLOCK NO-8A

HOUSING COLONY BCS PHASE-III SECTOR-VI(PART-II) NEW SHIMLA-9

SHIMLA, HIMACHAL PRADESH

INDIA

PINCODE: 171009

(Name in Block Letters and Membership Number)

Signature

Please return it immediately bearing correction for change in particulars of the firm.

THIS DOES NOT CONSTITUTE A CERTIFICATE

Note:

[1] The asterisk mark(\*) in the Partner indicates that the member is partner/proprietor of more than one firm or sole proprietor of one firm and is also the partner in another firm.

[2] Any change in the constitution of the firm should be intimated within one month along with the form 18 duly by the proprietor/partners.

[3] In case of retirement, a letter to that effect from the retiring partner/s should be submitted along with the form 18 signed by the remaining partners.

[4] The information regarding exact date(i.e. date, month & year) of joining/leaving of Paid Assistant(s) must be informed for necessary updation in our records.

[5] The hash mark (#) in the member number indicates it is deemed date of joining.

&nbsp;

**Disclaimer :** "This is a system generated Card. Please inform us with any discrepancy that you may notice before you submit it for any official

use."



भारत सरकार  
Government of India  
सूक्ष्म, लघु एवं मध्यम उद्यम मंत्रालय  
Ministry of Micro, Small and Medium Enterprises



## UDYAM REGISTRATION CERTIFICATE



Our small hands to  
make you LARGE

UDYAM REGISTRATION NUMBER	UDYAM-DL-08-0016761				
NAME OF ENTERPRISE	M/S ANISH ASHISH & CO				
TYPE OF ENTERPRISE *	MICRO				
MAJOR ACTIVITY	SERVICES				
SOCIAL CATEGORY OF ENTREPRENEUR	GENERAL				
NAME OF UNIT(S)	S.No.	Udyog Aadhaar Memorandum	Unit(s) Name		
	1	DL03D0008479	ANISH ASHISH & CO		
OFFICAL ADDRESS OF ENTERPRISE	Flat/Door/Block No.	K-28	Name of Premises/ Building	3RD FLOOR	
	Village/Town	NEW DELHI	Block	K	
	Road/Street/Lane	SARITA VIHAR	City	NEW DELHI	
	State	DELHI	District	SOUTH , Pin 110076	
	Mobile	9810261432	Email:	anish_ashish@yahoo.com	
DATE OF INCORPORATION / REGISTRATION OF ENTERPRISE	21/07/1980				
DATE OF COMMENCEMENT OF PRODUCTION/BUSINESS	21/07/1980				
NATIONAL INDUSTRY CLASSIFICATION CODE(S)	SNo.	NIC 2 Digit	NIC 4 Digit	NIC 5 Digit	Activity
	1	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69201 - Accounting, bookkeeping and auditing activities	Services
DATE OF UDYAM REGISTRATION	22/07/2021				

\* In case of graduation (upward/reverse) of status of an enterprise, the benefit of the Government Schemes will be availed as per the provisions of Notification No. S.O. 2119(E) dated 26.06.2020 issued by the M/o MSME.

Disclaimer: This is computer generated statement, no signature required. Printed from <https://udyamregistration.gov.in> & Date of printing:- 28/07/2021

For any assistance, you may contact:

1. District Industries Centre: DELHI ( DELHI )
2. MSME-DI: DELHI ( DELHI )






Visit : [www.msme.gov.in](http://www.msme.gov.in) ; [www.dcmsme.gov.in](http://www.dcmsme.gov.in) ; [www.champions.gov.in](http://www.champions.gov.in)



Follow us @minmsme & @msmechampions



 <p>भारत सरकार Government of India सूक्ष्म, लघु एवं मध्यम उद्यम मंत्रालय Ministry of Micro, Small and Medium Enterprises</p>	 <p>सूक्ष्म, लघु एवं मध्यम उद्यम MICRO, SMALL &amp; MEDIUM ENTERPRISES</p>
Udyam Registration Number : UDYAM-DL-08-0016761	

Type of Enterprise	MICRO	Major Activity	Services
Type of Organisation	Partnership	Name of Enterprise	M/S ANISH ASHISH & CO
Owner Name	M/S ANISH ASHISH & CO	PAN	AALFA1366J
Do you have GSTIN	Yes	Mobile No.	9810261432
Email Id	anish_ashish@yahoo.com	Social Category	General
Gender	Male	Specially Abled(DIVYANG)	No
Date of Incorporation	21/07/1980	Date of Commencement of Production/Business	21/07/1980

## Bank Details

Bank Name	IFS Code	Bank Account Number
UNION BANK OF INDIA	UBIN0556874	307901010077717

## Employment Details

Male	Female	Other	Total
6	2	0	8

## Investment in Plant and Machinery OR Equipment (in Rs.)

S.No.	Financial Year	Enterprise Type	Written Down Value (WDV)	Exclusion of cost of Pollution Control, Research & Development and Industrial Safety Devices	Net Investment in Plant and Machinery OR Equipment[(A)-(B)]	Total Turnover (A)	Export Turnover (B)	Net Turnover [(A)-(B)]	Is ITR Filled?	ITR Type
1	2019-20	Micro	227560.00	0.00	227560.00	11376386.00	0.00	11376386.00	Yes	ITR - 3, 5, 6

## Unit(s) Details

SN	Unit Name	Flat	Building	Village/Town	Block	Road	City	Pin	State	District
1	ANISH ASHISH & CO	K-28	SARITA VIHAR, 3RD FLOOR	SARITA VIHAR		3RD FLOOR	NEW DELHI	110076	DELHI	NEW DELHI
2	ANISH ASHISH & CO	54/93	PANCHWATI MARG	RAJAT PATH	51/93	MANSROVER	JAIPUR	302020	RAJASTHAN	JAIPUR
3	ANISH ASHISH & CO	130	BASAL	CHAMBAGHAT	PLOT NO-130	BASAL	SOLAN	173213	HIMACHAL PRADESH	SOLAN
4	ANISH ASHISH & CO	08	HOUSING COLONY BCS	PHASE-3	08A	SECTOR-6	NEW SHIMLA	171009	HIMACHAL PRADESH	SHIMLA

## Official address of Enterprise

Flat/Door/Block No.	K-28	Name of Premises/ Building	3RD FLOOR
Village/Town	NEW DELHI	Block	K
Road/Street/Lane	SARITA VIHAR	City	NEW DELHI
State	DELHI	District	SOUTH , Pin : 110076
Mobile	9810261432	Email:	anish_ashish@yahoo.com

## National Industry Classification Code(S)

SNo.	Nic 2 Digit	Nic 4 Digit	Nic 5 Digit	Activity
1	69 - Legal and accounting activities	6920 - Accounting, bookkeeping and auditing activities; tax consultancy	69201 - Accounting, bookkeeping and auditing activities	Services

Are you interested to get registered on Government e-Market (GeM) Portal	Yes
Are you interested to get registered on TReDS Portals(one or more)	No
District Industries Centre	DELHI ( DELHI )
MSME-DI	DELHI ( DELHI )
Date of Udyam Registration	22/07/2021
Date of Printing	28/07/2021

आयकर विभाग  
INCOME TAX DEPARTMENT



भारत सरकार  
GOVT. OF INDIA

ANISH ASHISH & CO



15/12/2004

Permanent Account Number

AALFA1366J

24022005



**Government of India**  
**Form GST REG-06**  
[See Rule 10(1)]

**Registration Certificate**

**Registration Number : 02AALFA1366J1ZN**

1.	<b>Legal Name</b>	ANISH ASHISH & CO			
2.	<b>Trade Name, if any</b>	ANISH ASHISH & CO			
3.	<b>Constitution of Business</b>	Partnership			
4.	<b>Address of Principal Place of Business</b>	BLOCK-8A, 8, HOUSING COLONY BCS, PHASE-3 SECTOR 6 PART-2, Shimla, Himachal Pradesh, 171009			
5.	<b>Date of Liability</b>				
6.	<b>Period of Validity</b>	From	13/09/2021	To	Not Applicable
7.	<b>Type of Registration</b>	Regular			
8.	<b>Particulars of Approving Authority</b>	Centre			
<div>Signature</div> <div>Signature Not Verified Digitally signed by DS GOODS AND SERVICES TAX NETWORK(4) Date: 2021.09.13 12:00:53 IST</div>					
Name		Manjeet Singh			
Designation		Superintendent			
Jurisdictional Office		Cart Road Circle			
9. Date of issue of Certificate		13/09/2021			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

This is a system generated digitally signed Registration Certificate issued based on the approval of application granted on 13/09/2021 by the jurisdictional authority.



## Annexure A

GSTIN	02AALFA1366J1ZN
Legal Name	ANISH ASHISH & CO
Trade Name, if any	ANISH ASHISH & CO

### Details of Additional Places of Business

Total Number of Additional Places of Business in the State	0
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GSTIN	02AALFA1366J1ZN
Legal Name	ANISH ASHISH & CO
Trade Name, if any	ANISH ASHISH & CO

**Details of Managing / Authorized Partners**

1		Name	ANISH KUMAR GUPTA
		Designation/Status	MANAGING PARTNER
		Resident of State	Delhi
2		Name	ASHISH KUMAR GUPTA
		Designation/Status	MANAGING PARTNER
		Resident of State	Delhi
3		Name	VIJAY KUMAR GUPTA
		Designation/Status	PARTNER
		Resident of State	Delhi
4		Name	NEERAJ KHANDELWAL
		Designation/Status	PARTNER
		Resident of State	Delhi
5		Name	KRITI JAIN
		Designation/Status	PARTNER
		Resident of State	Haryana
6		Name	AKHIL GOEL
		Designation/Status	PARTNER
		Resident of State	Delhi
7		Name	KAPIL RASTOGI
		Designation/Status	PARTNER
		Resident of State	Delhi



**Government of India**  
**Form GST REG-06**  
*[See Rule 10(1)]*

**Registration Certificate**

**Registration Number :07AALFA1366J1ZD**

1.	<b>Legal Name</b>	ANISH ASHISH & CO			
2.	<b>Trade Name, if any</b>	ANISH ASHISH & CO			
3.	<b>Constitution of Business</b>	Partnership			
4.	<b>Address of Principal Place of Business</b>	3RD FLOOR, K-28, SARITA VIHAR, NEW DELHI, South West Delhi, Delhi, 110076			
5.	<b>Date of Liability</b>	01/07/2017			
6.	<b>Period of Validity</b>	From	01/07/2017	To	NA
7.	<b>Type of Registration</b>	Regular			
8.	<b>Particulars of Approving Authority</b>				
Signature					
Name					
Designation					
Jurisdictional Office					
9. Date of issue of Certificate		24/09/2017			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

**This is a system generated digitally signed Registration Certificate issued based on the deemed approval of the application for registration**





GSTIN	07AALFA1366J1ZD
Legal Name	ANISH ASHISH & CO
Trade Name, if any	ANISH ASHISH & CO

**Details of Additional Places of Business**



Total Number of Additional Places of Business in the State 2

Sr. No.	Address
1	KD-55, PITAMPURA, DELHI, West Delhi, Delhi, 110034
2	2481/9, GURUDWARA ROAD, KAROL BAGH, North West Delhi, Delhi, 110005



GSTIN	07AALFA1366J1ZD
Legal Name	ANISH ASHISH & CO
Trade Name, if any	ANISH ASHISH & CO

**Details of Managing / Authorized Partners**

1		Name	ANISH KUMAR GUPTA
		Designation/Status	MANAGING PARTNER
		Resident of State	Delhi
2		Name	ASHISH KUMAR GUPTA
		Designation/Status	MANAGING PARTNER
		Resident of State	Delhi

# Certificate of Registration

This is to certify that the  
**Risk Management**  
of  
**ANISH ASHISH & CO. CHARTERED ACCOUNTANTS**  
at  
CORPORATE OFFICE: K-28, 3 RD FLOOR, SARITA VIHAR, NEW DELHI-110076, INDIA  
HEAD OFFICE: FLAT NO.8, BLOCK NO. 8A BCS, SECTOR-6, PHASE-3,  
NEW SHIMLA-171009, INDIA

has been independently assessed and is  
compliant with the requirements of:

**ISO 31000:2018**

For the following scope of activities:

**Auditing, Accounting, Risk Management, Management Consulting  
& Allied Activities**

**Certificate Number: UQ - 2024060113**

Validity of this certificate can be verified at [www.ukcertifications.org.uk/verify](http://www.ukcertifications.org.uk/verify)

Date of Certification  
1<sup>st</sup> Surveillance Audit Due  
2<sup>nd</sup> Surveillance Audit Due  
Certificate Expiry

1st June 2024  
31st May 2025  
31st May 2026  
31st May 2027

*Daniel..*

Authorised Signatory





Professional Development Committee  
The Institute of Chartered Accountants of India  
(Set up by an Act of Parliament)

### Multipurpose Empanelment Form 2023-24

#### Draft Bank Branch Auditors Panel for the Year 2023-24

MEF No.:	MEF36269
FRN No./ MRN No.:	002535N
Concern Name:	M/s. ANISH ASHISH & CO
Unique Code No.:	0103368
State:	HIMACHAL PRADESH
Category:	I

[<<Go back](#)

**OFFICE OF THE COMPTROLLER AND AUDITOR GENERAL OF INDIA  
10, BAHADUR SHAH ZAFAR MARG  
NEW DELHI – 110002.**

**No. CA-V/108-2022/DE2657**

**TO  
ANISH ASHISH & CO  
FLAT NO 8 BLOCK NO 8A HOUSING COLONY BCS  
PHASE-III,SECTOR 6-PART-II  
NEW SHIMLA  
SHIMLA - HIMACHAL PRADESH**

**Subject : Empanelment status of your firm for the year 2024-2025**

**Dear Sir(s),**

**With reference to your application for empanelment, it is informed that your firm stands empanelled with this office for the year 2024-2025 with empanelment No.DE2657 for the purpose of appointment of auditors of Companies as per Section 139(5) and 139 (7) of the Companies Act 2013 and of Statutory Corporations/Autonomous Bodies as per the provisions of their respective Acts. This number may invariably be quoted in all future correspondence with this office.**

**Any change in the constitution of the firm vis-a-vis the particulars submitted in online application should be intimated to this office within 30 days from the date of such changes.**

**Sr. Administrative Officer/CAV**

**(This is a Computer generated Letter)**



# The Institute of Chartered Accountants of India

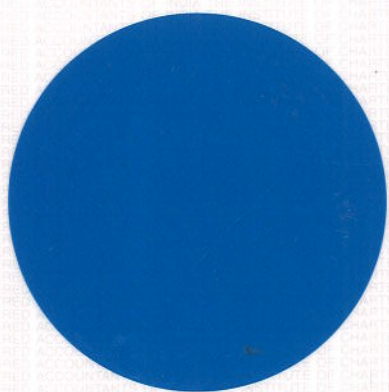


DUPLICATE

## Certificate of Membership

This is to Certify that  
Vijay Kumar Gupta  
Membership No. 014197 of Delhi  
was admitted as a Fellow of the Institute  
on the Twentieth day of January, 1978 and  
he is entitled to use the letters F.C.A. after his name.

Given by the Council  
under the Common Seal of  
the Institute of Chartered Accountants of India,  
this Thirty first day of January, 1978.



Sd/-

Secretary

Secretary



Date : 23/02/2022

Sd/-

President

President



# The Institute of Chartered Accountants of India



## Certificate of Membership

This is to certify that  
Gupta Anish Kumar  
Son of V K Gupta  
Membership No. 099399 of Delhi  
was admitted as an Associate of the Institute  
on the Eighteenth day of January, 2002.

Given by the Council  
under the Common Seal of the  
Institute of Chartered Accountants of India,  
this Thirty first day of January, 2002.



*Ashok Haldia*  
(ASHOK HALDIA)  
Secretary

*N D Gupta*  
(N D GUPTA)  
President



# The Institute of Chartered Accountants of India



## Certificate of Membership

*This is to certify that*

*Gupta Ashish*

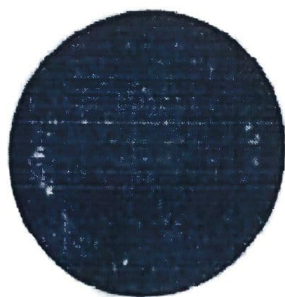
*Son of V K Gupta*

*Membership No. 503829 of Delhi*

*was admitted as an Associate of the Institute  
on the Twenty eighth day of July, 2004.*

*Given by the Council*

*under the Common Seal of the  
Institute of Chartered Accountants of India,  
this Thirty first day of August, 2004.*



*AH HLDIA*  
(ASHOK HALDIA)  
Secretary

*SUNIL GOYAL*  
(SUNIL GOYAL)  
President





# The Institute of Chartered Accountants of India



## Certificate of Membership

This is to Certify that

Ms. Kriti Jain

Daughter of Subhash Chandra Jain

Membership No. 422871 of Jaipur

was admitted as an Associate of the Institute

on the Fifteenth day of April, 2013 and

she is entitled to use the letters A.C.A. after her name.

Given by the Council

under the Common Seal of

the Institute of Chartered Accountants of India,

this Thirty first day of May, 2013.



*Kriti Jain*  
422871

*T. Karthikeyan*

(T KARTHIKEYAN)

Secretary

*Subodh Kumar Agraw*

(SUBODH KUMAR AGRAW.)

President





## Certificate of Membership


This is to Certify that  
Neeraj Ashok Khandelwal  
Son of Ashok Khandelwal  
Membership No. 518014 of New Delhi  
was admitted as an Associate of the Institute  
on the Eleventh day of October, 2010.

Given by the Council  
under the Common Seal of  
the Institute of Chartered Accountants of India,  
this Thirty first day of March, 2011.



*Neeraj Khandelwal*

518014



*T. Karthikeyan*

(T KARTHIKEYAN)  
Secretary

*G. Ramaswamy*

(G RAMASWAMY)  
President





# The Institute of Chartered Accountants of India



## Certificate of Membership

*This is to certify that  
Rastogi Kapil  
Son of R C Rastogi  
Membership No. 503043 of Delhi  
was admitted as an Associate of the Institute  
on the Ninth day of February, 2004.  
Given by the Council  
under the Common Seal of the  
Institute of Chartered Accountants of India,  
this Twenty ninth day of February, 2004.*



*Ashok Haldia*  
(ASHOK HALDIA)  
Secretary

*Sunil Goyal*  
(SUNIL GOYAL)  
President



# The Institute of Chartered Accountants of India



## Certificate of Membership

*This is to Certify that*

*Mohd Ali*

*Son of Mohd Jan*

*Membership No. 543657 of Rampur*

*was admitted as an Associate of the Institute*

*on the Fourth day of December, 2016 and*

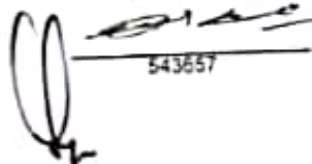
*he is entitled to use the letters A.C.A. after his name.*

*Given by the Council*

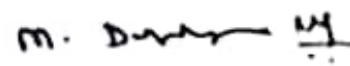
*under the Common Seal of*

*the Institute of Chartered Accountants of India,*

*this Thirty first day of December, 2016.*

  
543657

(V SAGAR)  
Secretary

  
(M DEVARAJA REDDY)  
President



**Certified Information  
Systems Auditor®**  
An ISACA® Certification

ISACA hereby certifies that

**Ashish Gupta**

has successfully met all requirements and is qualified as a Certified Information Systems Auditor;  
in witness whereof, we have subscribed our signatures to this certificate.

Requirements include prerequisite professional experience; adherence to the ISACA Code of Professional Ethics and the CISA continuing professional education policy; and passage of the CISA exam.

221817870

Certificate Number

19 May 2022

Date of Certification

31 January 2026

Expiration Date



**ISACA®**

ISACA Chief Executive Officer





ISACA hereby certifies that

**ANISH KUMAR GUPTA**

has successfully met all requirements and is qualified as a Certified Information Systems Auditor;  
in witness whereof, we have subscribed our signatures to this certificate.

Requirements include prerequisite professional experience; adherence to the ISACA Code of Professional Ethics and the CISA continuing professional education policy; and passage of the CISA exam.

221843803

Certificate Number

17 June 2022

Date of Certification

31 January 2026

Expiration Date



ISACA Chief Executive Officer



**THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA**  
**POST QUALIFICATION COURSE IN INFORMATION SYSTEMS AUDIT (ISA) ASSESSMENT TEST**  
(Under Regulation 204 of the Chartered Accountants Regulations, 1988)

**S T A T E M E N T   O F   M A R K S**  
**DECEMBER 2019**

**ROLL NO. :** 1675

**NAME :** KAPIL RASTOGI

**M.S. No.:** 132062  
**ISA REGN. NO. :** 19753

<b>MAXIMUM MARKS</b>	:	<b>200</b>
<b>MINIMUM MARKS</b>	:	<b>120</b>
<b>MARKS SECURED</b>	:	<b>129</b>
<b>RESULT</b>	:	<b>PASS</b>

**MARKS IN WORDS**

**ONE HUNDRED TWENTY NINE**

**DATE :** 16/01/2020

CERTIFICATE IS NOT  
RECEIVED TILL DATE  
DUE TO COVID-19. THE  
SAME CAN BE VERIFIED  
FROM LIST OF  
SPECIALIZED MEMBERS - ICAT.



**ADDL. SECRETARY**  
**(EXAMINATIONS)**





Certificate No. : 86787-4934709-1666

Batch : VI / 2021

# THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA

Certificate Course on Concurrent Audit of Banks



## Certificate

This is to certify that CA. GUPTA VIJAY KUMAR .,

Membership No. 014197 has successfully completed the Certificate Course on **Concurrent Audit of Banks** conducted by the Internal Audit Standards Board of the Institute of Chartered Accountants of India, New Delhi.

New Delhi  
3<sup>rd</sup> Sep, 2021

  
CA. (Dr.) Jai Kumar Batra  
Acting Secretary, ICAI

  
Chairman  
Internal Audit Standards Board, ICAI

  
CA. Nihar N Jambusaria  
President, ICAI





Certificate No. : 85570-5579057-1666

Batch : III / 2021

# THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA


Certificate Course on Concurrent Audit of Banks

## Certificate

This is to certify that CA. GUPTA ANISH KUMAR .,  
Membership No. 99399 has successfully completed the Certificate Course on  
**Concurrent Audit of Banks** conducted by the Internal Audit Standards Board of the Institute of  
Chartered Accountants of India, New Delhi.

New Delhi  
04 Feb 2021

  
**Rakesh Sehgal**  
Acting Secretary, ICAI

  
**CA. Charanjot Singh Nanda**  
Chairman  
Internal Audit Standards Board

  
**CA. Atul Kumar Gupta**  
President, ICAI

Certificate No. 46

Batch CCLXXXVIII/ 2017-2018



# THE INSTITUTE OF CHARTERED ACCOUNTANTS OF INDIA

Certificate Course on Concurrent Audit of Banks

## Certificate

This is to certify that CA. Gupta Ashish,

Membership No. 503829 has successfully completed the Certificate Course on **Concurrent Audit of Banks** conducted by the Internal Audit Standards Board of the Institute of Chartered Accountants of India, New Delhi.

V. Sagar  
Secretary, ICAI

New Delhi  
June 05, 2017

CA. Sanjiv Kumar Chaudhary  
Chairman  
Internal Audit Standards Board

CA. Nilesh Shivji Vikamsey  
President, ICAI

*"This certificate is neither a Degree nor a Diploma, being awarded by the Institute to its members in terms of the Chartered Accountants Act, 1949 and Regulations framed thereunder."*



राष्ट्रीय प्रतिभूति बाज़ार संस्थान  
National Institute of Securities Markets

Established by the Securities and Exchange Board of India

प्रमाणपत्र  
CERTIFICATE

NISM

श्री / सुश्री Mr. / Ms. VIJAY KUMAR GUPTA  
( पी.ए.एन. संख्या PAN Number : AAHPG8703D )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार

"रा.प्र. बा.सं. शृंखला VI: निक्षेपागार कार्यप्रणाली सतत व्यावसायिक शिक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series VI: Depository Operations Continuing Professional Education Program" Continuing Professional Education Program as required under the SEBI (Certification of Associated Persons in the Securities Markets) Regulations, 2007.

**Mode of Delivery : e-CPE**

**e-CPE Date : 11-Feb-2022**

**Test Center : Online**

**Place : Online**

**Certification No. : 2200035483**

**Valid till : 10-Feb-2025**

Sunil Kadam

Registrar

Date of Issue : 22-Feb-2022

For online verification of this NISM Certificate, please login to NISM Skills Registry at <https://certifications.nism.ac.in/nismskills>